







Trainer's Handbook

Training Manual for Urban Local Bodies on Developing a Plastic Waste Management Strategy and Action Plan

August 2021

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List of Abbreviations

AV Audio Visual

CCC Command Control Centre

EPR Extended Producers Responsibility

GHMC Greater Hyderabad Municipal Corporation

IEC Information, Education and Communication

KPI Key Performance Indictor

MLP Multi-layered Plastic

MSW Municipal Solid Waste

PPP Public Private Partnership

PWM Plastic Waste Management

Q&A Question and Answer

SDG Sustainable Development Goal

SUPs Single Use plastics

ULB Urban Local Body

Background

To date, 75% of all plastics ever produced are discarded as waste and the volumes are growing at an unprecedented rate (WWF, the Ellen MacArthur Foundation and BCG, 2020). Plastic pollution is one of the fastest-growing threats globally and has even been termed as a 'pandemic' by the UN Environment. The ever-increasing burden of managing plastic waste has become challenging for Urban Local Bodies (ULBs). Due to the absence of a holistic plastic waste management system in place, most of the South Asian cities are neither collecting nor processing the plastic waste scientifically; as a result of which tonnes of plastic waste end up in the oceans, rivers, and fertile lands, thereby, impacting the natural ecosystems.

Traditionally, the well-established sustainable waste management hierarchy is overlooked while formulating plastic waste management solutions; cities are adopting far less preferred options such as plastic to fuel processing or incineration instead of emphasising on strategies that would reduce the use of plastics and foster reuse. One of the key enablers for tackling plastic waste at the beginning of the value chain lies in the administrative and political will of the leadership and sustained education to bring a significant cultural and behavioural shift away from the rampant use of plastics, mainly single use plastics. This calls for rethinking the existing plastic waste management system and shifting towards a sustainable and scientific system which is restorative and regenerative by design and also creates economic opportunities, thereby promoting a circular economy.

Given this background, during the course of the project 'Development of Knowledge, Training and Capacity Building Materials on Plastic Waste Management' funded by the Institute for Global Environment Strategies (IGES) Centre Collaborating with UNEP on Environmental Technologies (CCET), the United Nations Environment Programme-International Environmental Technology Centre (UNEP-IETC) Japan, ICLEI South Asia designed a training compendium for Urban Local Bodies, providing stepwise guidance for the development and implementation of a Holistic Plastic Waste Management Strategy and Action Plan. This compendium consists of seven modules. The training modules also include first-hand experience and learnings from the activities undertaken by the Greater Hyderabad municipal Corporation (GHMC) during the project 'Enhancing Circular Economy Perspectives - Plastic Waste Management Strategy and Action Plan for Greater Hyderabad Municipal Corporation'. The training modules are also supplemented with relevant reference material.

The seven modules included in the training compendium include:

Module I: Understanding Plastic Waste – Global and National Scenario

Module II: Plastic Waste Management Rules and Guidelines in India

Module III: Plastic Waste Management Strategy and Action Plan: Commitment and Baseline Assessment

Module IV: Plastic Waste Management Strategy and Action Plan: Gap Analysis and Plan Development

Module V: Plastic Waste Management Strategy and Action Plan: Implement, Monitor and Enhance

Module VI: Compendium of Plastic Waste management Actions

Module VII: Learning and challenges of developing Plastic Waste Management Strategy and Action Plan – A Case of Hyderabad

This Trainer's Handbook can be used by trainers in India and also the Asia-Pacific region to organise a training programme on developing 'Plastic Waste Management Strategy and Action Plan for Urban Local Bodies (ULBs)'. It is to be noted that the training material is developed considering the context of ULBs and solid waste management in India. However, other than module II, which elaborates on the legal framework for municipal solid waste and plastic waste management in India, the remaining modules are useful to ULB all across the Asia-Pacific region; especially to ULBs which are starting to develop and work on relevant programmes.

The Handbook is developed to facilitate impactful training methods and choreograph an effective learning experience for ULBs. Aspects of monitoring and evaluating the training programme are also addressed. Pre-training assessment forms and post-training feedback forms are enclosed as annexes.

Objectives of the Handbook

The training modules are developed to achieve the following two objectives:

- To impart an overall understanding of plastic waste management;
- To deliberate and develop an insight on guiding principles for developing a plastic waste management strategy and action plan at the city level

The Handbook is prepared with the objectives of:

- Assisting trainers and facilitators in design, delivery and evaluation of the training programme
- Providing guidance on session delivery and reference materials for each module
- Helping trainers and facilitators understand the flow of the training modules
- Guiding trainers and facilitators on conducting group activities and exercises

The training modules will assist in strengthening the technical and managerial capacity of municipal engineers, municipal health officers, senior to mid-level municipal officials, waste management programme implementation officers, policy-makers, practitioners, NGOs and CSOs involved in waste management and all other key stakeholders.

Target Audience of the Handbook

The Handbook is a guiding resource for all professionals with proven knowledge and skills who would want to use the developed training modules to organise a training programme on developing Plastic Waste Management Strategy and Action Plan for Urban Local Bodies (ULBs).

Trainers and Facilitators

The programme will need at least 1 trainer and 1 facilitator for delivering each of the modules both in online and in-person set up. Depending on the number of participants, the trainer needs to decide on the number of facilitators required for supporting group activities.

It is recommended to have 1 facilitator each for the groups to make sure that the group is moving in the right direction. It is the responsibility of facilitators to ensure that all participants engage and participate actively during the group discussion. Further, it is suggested to invite a dignitary for delivering an inspirational key-note to motivate and create enthusiasm amongst the participants.

Ice breaking is important and the trainer and facilitator should ensure that all participants feel comfortable at the programme. Trainers and facilitators should announce housekeeping rules for the programme, such as muting participants when not speaking, or putting phones on silent mode. A slide will be shown at the beginning of the programme to explain the housekeeping rules.

Logistics of Training Programme

The training programme can be delivered through online platforms as well as in-person at a venue. It should be taken care under the both setups, that group activities could be performed seamlessly either through virtual break out rooms or ensuring enough space in the room during in-person trainings.

In case of online training, pre-registration of participants should be ensured to be able to share the joining link in advance. The table below provides all the key logistics required for conducting the training programme.

Table 1: Key Logistical Requirements for the Training Programme

Components	Online	In-person
Equipment	Computers/laptops, moderation materials such as notes or handouts. It is recommended that the trainees log in via a computer or laptop, but in case that is not possible, a smart phone or hand-held device may be used.	Apart from computer/laptop and moderation materials, important pieces of equipment required for offline programme include flip charts/ white boards, pens, sticky notes, projector, mic and speaker.
Materials	All participants should be provided with reading material/ handouts at the end of each module.	All participants should be provided with reading materials/handouts, either in a hard copy or in pen drive at the end of each module.
Training Methods	Presentation and lecturePanel discussion	Presentation and lecturePanel discussion

Components	Online	In-person
	 Breakout session Quiz through mentimeter Brainstorming and moderated discussion Role play Experience sharing/ peer to peer learning Audio Visual (AV) Clips 	 Small group activity Quiz (pen and paper) Brainstorming and moderated discussion Role play Experience sharing/ peer to peer learning Audio Visual (AV) Clips Field visits
Schedule	The training programme is to be spread into modules and the trainer shall decide the duration of the programme.	The training programme is recommended to be scheduled for 1-1.5 days.
Pre and Post Training Evaluation and Certificate	A pre-training assessment form to be shared with participants before the programme. The pre-training evaluation form will help to gather personal information including the expertise, experience, expectations of the participants from the programme which could be used to tailor the training programme accordingly. The pre-training assessment form can be designed on Google forms and shared with participants at least a week before the training. At the end of the programme a post training evaluation form will be shared. Evaluation form will be designed to assess the impact of training programme on participants, its usefulness in terms of uptake and implementation. Feedback must be obtained on the content, presentation, achievement of objectives, trainers and logistics of the training programme. The post-training feedback form can be designed in a Google form and shared with	Pre-training assessment form will be shared with the participants before the training programme. The pre-training evaluation form will help to gather personal information including the expertise, experience, expectations of the participants from the programme which could be used to tailor the training programme accordingly. The hard copies of the pre-training assessment form can be shared with the participants right at the beginning of the training programme. At the end of the programme a post training evaluation form will be shared. Evaluation form will be designed to assess the impact of training programme on participants, its usefulness in terms of uptake and implementation. Feedback must be obtained on the content, presentation, achievement of objectives, trainers and logistics of the training programme. The hard copies of the feedback form should be shared with the participants at the end of the

Components	Online	In-person
	participants. A sample feedback form is attached as an Annexure 3.	training programme and collected before they leave. A sample feedback form is attached as an Annexure 3.
	A certificate could be provided to the participants who complete the training programme.	A certificate could be provided to the participants who complete the training programme.

Plans for Delivering Training Programme

The developed training material consists of seven modules; the suggested duration of each module is as follows:

Module Name	Presentation	Exercise
Setting Context- Introduction to the Training programme	20 minutes	-
Module I: Understanding Plastic Waste – Global and National	40 minutes	20 minutes
Scenario		
Module II: Plastic Waste Management Rules and Guidelines in	50 minutes	10 minutes
India		
Module III: Plastic Waste Management Strategy and Action Plan:	90 minutes	30 minutes
Commitment and Baseline Assessment		
Module IV: Plastic Waste Management Strategy and Action Plan:	90 minutes	30 minutes
Gap Analysis and Plan Development		
Module V: Plastic Waste Management Strategy and Action Plan: 3 hours		1 hour
Implement, Monitor and Enhance		
Module VI: Compendium of Plastic Waste management Actions 1 hour -		-
Module VII: Learning and challenges of developing Plastic Waste 40 minutes -		-
Management Strategy and Action Plan – A Case of Hyderabad		
Training Evaluation and Valediction	20 minutes	-

Setting Context-Introduction to the Training Programme

The facilitator will inaugurate the programme and invite a key note speaker to address the trainees on the importance of plastic waste management and the leadership role ULBs should play to gradually reduce the burden of plastic pollution. The facilitator will then begin the session with a warm welcome to the participants, introduce trainers, self-introduction and participants' introduction followed by an ice-breaking event. S/he will give an overview of the overall programme and explain objectives and design of the programme. The facilitator will hand over to the trainer for further deliberation.

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Module I: Understanding Plastic Waste – Global and National Scenario

Session Delivery

The trainer will present the contents and key points to be covered in the first module. S/he will set the context by introducing types and nature of plastics especially its recyclability and economic value. The trainer will further deliberate on the global magnitude of plastic waste pollution and its scenario in developing nations with specific focus on India. S/he will give a brief overview of the principles of PWM such as waste management hierarchy and circular economy, and overall contribution of holistic PWM practices to Sustainable Development Goals (SDGs). At the end of the module, the trainer will provide evidence-based information on types of plastic waste generated from different sources like residential, commercial and other areas in Hyderabad.

Exercise 1: In continuation, the first exercise of the module is planned to help trainees understand and identify the source of plastic waste in their respective cities. This is a group activity where the trainees will be divided into teams of 3 to 5 members each and the trainer will ask them to:

- list down the major sources of plastic waste in the city along with specifying the bulk generators
- categorise the types of plastic waste on the basis of their recyclability and economic value

This will help the trainees to get clarity on the key points of plastic waste generation and the potential economic opportunity that can be harnessed out of the plastic waste. This is the stepping stone for addressing issues of plastic waste and to incorporate circularity into the system.

Exercise 2: For the second exercise, the trainees will be divided into groups of 5 or more, ideally incorporating trainees from different backgrounds in each group. The trainer will ask the groups to:

- Define Single Use Plastics (SUPs) for their respective city or state
- Identify measures that can be taken in order to promote the 6Rs identify at least 1 measure for each R.

This exercise will throw light on the importance of incorporating multidisciplinary perspectives into PWM system and integrated team work to bring cohesiveness in the planning process.

The groups will be supported by facilitators during the activities to ensure that the discussion is moving in the right direction.

At the end, the groups will be asked to present key discussion points. Trainers and the facilitators will moderate the discussion regarding the different types (tiers, unique geography etc.) of cities that the participants belong to, what the major sources of plastic waste would be in cities and PWM system practiced in different cities. A short film may be used for highlighting the issues of plastic pollution that might generate interest among the participants to learn further and contribute for the cause.

Module Outline

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Slide Description	 Slide 4, 5- Understanding plastics - Types and nature (recyclability, economic value etc.) Slide 7-11- Plastic waste - A Global Overview Slide 13- Plastic waste in India Slide 14-18- Single Use Plastics (SUPs) in India Slide 19-PWM value chain in India Slide 21-23- Principles of plastic waste management- waste hierarchy and circular economy Slide 24-27- Plastic waste management-Contribution to Sustainable Development Goals (SDGs) Slide 28, 29- Sources of plastic waste in cities- example of Hyderabad
Methodology used	 Presentation and lecture Group exercises Brainstorming and moderated discussion AV clips
Training Aid	The session is designed for both online and in-person training. For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. It should also be ensured that the online platforms have the facility for breakout rooms/sessions to be able to conduct group work. The link for joining the training programme should be shared with the participants at least one day in advance. For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can also be used for the purpose. For in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.
Time allotted	60 mins

Module II: Plastic Waste Management Rules and Guidelines in India

Session Delivery

The trainer will present the content and key points to be discussed in the second module. S/he will primarily talk about Plastic Waste management Rules 2016, Draft Plastic Waste Management (Amendment) Rules and other national guidelines, programmes, regulations and Indian Standards pertaining to plastic waste management. The trainer will introduce the participants to PWM Rules through its evolution over time and the underlying guidance to it as inherent in SWM Rules, 2016.

Further s/he will delve into the salient features and mandates under PWM Rules. Afterwards the trainer will share a summary of all other programmes and guidelines prevalent in India regarding plastic waste management.

It is important for the trainer to encourage the trainees to discuss various activities undertaken in their cities in relation to the different policy and regulatory framework. This will support peer to peer learning and inspire cities to adopt good practices.

Exercise (Quiz): At the end of the module, a quiz will be conducted through the use of "mentimeter" to assess the knowledge gained by the participants from the session. In case of an in-person training programme, the trainer/facilitator will share the hard copy of the quiz sheet and instruct the trainees to record their responses in it and the quiz sheets will be collected in sometime.

This exercise will enable the trainees to assess their understanding on the existing regulatory and policy framework and underlying opportunities that can be utilised to formulate a holistic plastic waste management strategy and action plan.

Slide Description	 Slide 3- Timeline-Plastic Waste Management Legislations in India Slide 5-23- Plastic Waste Management (PWM) Rules, 2016 Slide 24, 25- Draft Plastic Waste Management (Amendment) Rules, 2021 Slide 26-29- National Programmes/Regulations and Guidelines Slide 30-32- Other Regulations and Guidelines
Methodology Used	The different techniques used in the session include: Presentation and lecture Quiz Moderated discussion
Training Aid	The session is designed for both online and in-person training. For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. It should also be ensured that the online platforms have the facility for breakout rooms/sessions to be able to conduct group work. The link for joining the training programme should be shared with the participants at least one day in advance. For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can also be used for the purpose. For an in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.

Time Allotted	60 min

Module III: Plastic Waste Management Strategy and Action Plan – Commitment and Baseline Assessment

Session Delivery

The trainer will begin the session by giving an overview of the key points to be covered in the third module. S/he will guide the trainees through a simplified step by step process of developing a plastic waste management strategy and action plan. The trainer will define the overall planning process and methodology in brief and discuss the process of establishing the intent and goals of plastic waste management, that set the premise for the overall process. The importance of a waste management cell, stakeholder consultation and advisory committee are emphasised. Further into this module, the trainer will present the methodology for conducting a baseline assessment that includes a review of the existing policy framework, and analysis of secondary data and primary data collected through a quantification and characterisation survey of municipal solid waste. During the discourse, the trainer will emphasise on the process to b followed for quantification and characterisation of plastic waste and to conduct a brand audit.

Exercise: After the lecture, an exercise will be conducted where a collage of commonly found plastic waste components in the municipal waste stream will be demonstrated and the participants will be asked to:

- identify and categorize the items into 7 plastic resin types
- arrange the items according to commonly known FMCG brands

During the online training session, participants can use Padlet/Miro/Google sheet for responding. While for in-person trainings, the exercise could be conducted using an exercise sheet. The trainer/facilitator will collect the exercise sheet back from all the trainees at the end of the stipulated time.

This activity will strengthen the participants' knowledge to associate products of daily usage with the plastic resin code and the major accountable brands to be brought under the purview of EPR.

The trainer shall initiate an open conversation regarding the experiences of ULBs on conducting municipal solid waste and plastic waste quantification and characterisation survey, challenges associated and solutions foreseen. During the process, the trainer should highlight the importance of meticulous baseline assessment in identifying the most appropriate collection and transport mechanism, and the selection of treatment and processing technology. The discussion should focus on harmful impacts of SUPs and MLPs and promote environment friendly alternatives.

Field Visit (In-person training programme)

A field visit is recommended for an in-depth understanding of the on-ground situation. The field visit can showcase segregated collection and transport practices, scientific plastic recycling facilities, Dry Resource Collection Centres and/or Material Recovery Facilities,-depending on the presence of such facilities in the city.

Before the field visit, the facilitator shall briefly inform the group about the facilities and associated uniqueness, challenges and success factors. If possible, handouts with information on sites to be visited should be prepared in advance and distributed to the group.

The facilitator shall be responsible for conducting a well-organised field activity. S/he can request for assistance of the concerned officials of the ULB to be present during the field visit and provide relevant information to the group. Post visit, an informal discussion could be conducted for the participants to share their observations and learnings.

Slide Description	Slide 3 - Overall process to develop plastic waste management action plan
	Slide 5, 6 - Ascertain Commitment
	• Slide 7-12 - Form Waste Management Cell/ Stakeholder Advisory/Consultation
	Committee
	• Slide 14, 15 - Review policies/ programs/ legal framework
	Slide 16-40 - Assess Baseline Situation
Methodology	The different techniques used in the session include:
Used	
	Presentation and lecture
	Individual exercise
	Moderated discussion
Training Aid	The session is designed for both online and in-person training.
	For the online training, conferencing platforms such as Zoom, Webex could be
	explored. It should be ensured that the online platforms are user friendly. It
	should also be ensured that the online platforms have the facility for breakout
	rooms/sessions to be able to conduct group work. The link for joining the
	training programme should be shared with the participants at least one day in advance.
	For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can
	also be used for the purpose.
	For in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.

Time Allotted	120 mins

Module IV: Plastic Waste Management Strategy and Action Plan: Gap Analysis and Plan Development

Session Delivery

The trainer will begin the session by presenting the contents and discussing key points to be covered in the fourth module. In this module, the trainer will delve into the different tools and techniques of conducting gap analysis and the overall process of plan development. The trainer will elaborate on the key actions to be undertaken for assessing and identifying technical, financial, administrative, operation, and maintenance gaps in the existing PWM system by using tools such as comparison with standards and benchmark, material flow analysis, SWOT analysis and last but not the least incorporating feedback received through stakeholder consultation. Moving ahead, the trainer will explain the methods of population projections and calculation of future waste generation that forms an integral part of plan development; followed by reinforcing the various principles of sustainable plastic waste management such as waste management hierarchy and circular economy that guide the strategies and action plans. In continuation, the trainer will focus on prioritising various identified actions, stipulating a timeframe, formulating the plan and the process of ratification and approval of the plan in the council.

Exercise: The participants will be divided in groups of 3-4 and will be instructed to work on the following components using Padlet/ Miro/ Google sheet:

- Identify major gaps across the value chain of plastic waste management
- Propose at least one solution for each stage of waste segregation, collection and transport and recycling and processing.

For in-person programme, the group will use flipcharts/whiteboards to work out the exercise and present to the audience.

This exercise will help the participants to brainstorm and identify issues in the PWM system which might have been discounted earlier and devise innovative solutions, applying newly gained knowledge and concepts.

At the end, the trainer will ask the groups to present the concerns and solutions in an open forum. By referring to the key takeaways from the discussion, the trainer shall try to contextualise the proposed solutions into the concept of circular economy and waste management hierarchy; in case of an incongruity, the trainer shall try to guide the participants to the most feasible solution. S/he shall wrap up the session by highlighting the need for multi-stakeholder engagement for planning and implementing the actions proposed by the trainees.

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Slide Description Slide 3 - Overall process to develop plastic waste management action plan Slide 5, 6 - Overall description of Assess and Identify Gaps Slide 7, 8 - Comparison of existing situation with standards/benchmarks Slide 9-11 - Material flow analysis Slide 9-11 - Material flow analysis Slide 28-31 - Stakeholder consultation Slide 33 - Overall process of develop an Action Plan Slide 33 - Future projection Slide 33 - Future projection Slide 34 - Time frame Slide 44 - 47 - Prioritizing actions Slide 44 - 47 - Prioritizing actions Slide 48-50 - Ratification and approve Methodology Used The different techniques used in the session include: Group exercise Brainstorming and moderated discussion Training Aid The session is designed for both online and in-person training. For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. It should also be ensured that the online platforms have the facility for breakout rooms/sessions to be able to conduct group work. The link for joining the training programme should be shared with the participants at least one day in advance. For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can also be used for the purpose. For in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.		
Presentation and lecture Group exercise Brainstorming and moderated discussion Training Aid The session is designed for both online and in-person training. For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. It should also be ensured that the online platforms have the facility for breakout rooms/sessions to be able to conduct group work. The link for joining the training programme should be shared with the participants at least one day in advance. For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can also be used for the purpose. For in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.	Slide Description	 Slide 5, 6 - Overall description of Assess and Identify Gaps Slide 7, 8 - Comparison of existing situation with standards/benchmarks Slide 9-11 - Material flow analysis Slide 12-27 - SWOT analysis Slide 28-31 - Stakeholder consultation Slide 33 - Overall process of develop an Action Plan Slide 34-38 - Future projection Slide 39-42 - Identifying viable strategies and action plan Slide 43 - Time frame Slide 44- 47 - Prioritizing actions
For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. It should also be ensured that the online platforms have the facility for breakout rooms/sessions to be able to conduct group work. The link for joining the training programme should be shared with the participants at least one day in advance. For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can also be used for the purpose. For in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.		Presentation and lectureGroup exercise
Time Allotted 120 mins	Training Aid	For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. It should also be ensured that the online platforms have the facility for breakout rooms/sessions to be able to conduct group work. The link for joining the training programme should be shared with the participants at least one day in advance. For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can also be used for the purpose. For in-person training programme, the participants will use flipchart and
	Time Allotted	120 mins

Module V: Plastic Waste Management Strategy and Action Plan: Implement, Monitor and Enhance

Session Delivery

In this module the trainer will focus on the processes and methods to implement the action plan devised earlier, monitoring mechanisms and its enhancement in future. For the ease of delivery and uptake, this module is divided into 3 sections.

In the 1st section, the trainer will discuss the various implementation models to be adopted such as developing regulatory and policy framework, service provisioning, Extended Producers Responsibility (EPR), engagement of industry/R&D sector, community mobilisation through Information Education Communication (IEC) campaign and capacity building.

In the 2nd section, the trainer will inform the participants about the available sources of finance and financing mechanisms. The nuances of engaging private entities, various Public Private Partnership (PPP) structures, types of agreements and end-to-end tendering process will be discussed.

In the 3rd section, the trainer will highlight the institutional structure required for implementing monitoring mechanisms, role of Command Control Centre (CCC) and share a list of indicative Key Performance Indicators (KPIs) to be considered across stages of PWM value chain. This will help in institutionalising the process of periodic review and will eventually decide the need for modification and scale up of the action plan, if required.

Exercise: After the technical discourse, a role play based group exercise is planned. The trainer will organise the participants into 3 groups - each group representing one aspect of the plastic waste management chain: collection/segregation, transport, recycling/processing. Each group will further get organised into two parties - one representing the ULB and another representing the private sector. The trainer will instruct the groups to perform the following in Padlet/ Miro/ Google sheet:

- Work out an implementation model by discussing among parties for one of the solutions identified earlier
- Define the respective KPIs and monitoring framework for each aspect of the PWM chain

For an in-person programme, the participants will use flipcharts/whiteboards to work out the exercise and present to the audience.

The aim of the exercise is to enable participants to role-play and understand the challenges faced by various actors along the value-chain, with an aim to enable and plan for collaborative action. Finally, the group will be asked to present the outcomes, with trainer/facilitator moderating the session. There could be a brief question and answer session between the groups. To showcase the significance of a strong implementation and monitoring mechanism, the trainer shall play relevant AV clips (web links provide in the list of reference material in the appendix to this handbook) and wrap up the session by summarising the success factors of that case study.

Module Outlir	
Slide Description	 Slide 3 - Overall process to develop plastic waste management action plan Slide 5-7 - Delineate implementation/ operational model Slide 8 - Delineate implementation/ operational model- Policy oriented action Slide 9, 10 - Delineate implementation/ operational model- Industrial/ R&D engagement Slide 11 - Delineate implementation/ operational model- service provisioning Slide 12-20- Delineate implementation/ operational model- EPR Slide 21-25 - Delineate implementation/ operational model- IEC and Capacity Building Slide 26 - Roles and responsibility matrix Slide 27 - Example of Hyderabad Module VB Slide 3 - Overall process to develop plastic waste management action plan Slide 7-30 - Engagement of private entities Module VC Slide 3 - Overall process to develop plastic waste management action plan Slide 5-8 - Establish monitoring system Slide 9-21 - KPIs Slide 22,23 - periodic review Slide 24 - Modification and scale up Slide 26 - Review and enhance the action plan Slide 27 - Identify new priorities Slide 28, 29 - Prepare revised action plan
Methodology Used	 The different techniques used in the session include: Presentation and lecture Role play Brainstorming and moderated discussion Audio visual clip
Training Aid	The session is designed for both online and in-person training. For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. It should also be ensured that the online platforms have the facility for breakout

	rooms/sessions to be able to conduct group work. The link for joining the training programme should be shared with the participants at least one day in advance.
	For the exercise and discussions, Padlet or Miro can be used. Google sheet can be helpful as well in this regard. Whiteboard in the conferencing platforms can also be used for the purpose.
	For in-person training programme, the participants will use flipcharts and whiteboards for the group exercise and presentation to the audience.
Time Allotted	240 min

Module VI: Compendium of Plastic Waste Management Actions

Session Delivery

In this module, the trainer will demonstrate a range of prospective plastic waste management actions. The trainer will go through the slides for reflecting on examples of good practice primarily from India and a few global case studies. S/he will address each component of the value chain by highlighting a range of initiatives such as use of alternative products, ban/imposing tax on SUPs, sustainable procurement policy etc.

Considering that the module is very illustrative and brings in a number of examples across the country and globe, it is very impactful in generating interest and a sense of responsibility and fervour to adopt similar and relevant practices in the trainees' cities. To make the session engaging and interactive, the trainer shall ask participants to elaborate on similar actions that may have been adopted in their cities. S/he shall also motivate the trainees to share their experiences, challenges faced, success factors, or reasons for failure, outcome and learnings while undertaking these initiatives and channel the conversation towards identifying solutions for effective implementation of these actions.

Slide	Slide 2- Circular Economy in plastic waste management
Description	Slide 3, 4- Business models enhancing circular economy
	• Slide 5- 41- Illustrative descriptions of possible actions to be undertaken for
	each component of plastic waste management value chain
Methodology	The different techniques used in the session include:
Used	
	Presentation and lecture
	Moderated discussion
Training Aid	The session is designed for both online and in-person training.

	For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. For in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.
Time Allotted	60 min

Module VII: Learning and Challenges of Developing Plastic waste Management Strategy and Action Plan – A Case of Hyderabad

Session Delivery

This module presents the case of Greater Hyderabad Municipal Corporation (GHMC) where the project 'Enhancing Circular Economy Perspectives - Plastic Waste Management Strategy and Action Plan for Greater Hyderabad Municipal Corporation' was implemented. The trainer will begin by briefly demonstrating the methodology adopted for developing the strategy and action plan. After presenting the key findings from baseline assessment and gap analysis, the trainer will explain the strategies and action plan conceptualised by Hyderabad. This is an apt example for any ULB looking to formulate a plastic waste management strategy and action plan.

Towards the end, the trainer shall open the forum for receiving queries from trainees regarding the experience of Hyderabad. Through this real time case, the trainees can gain an insight into the barriers, enablers and stimuli for developing and implementing a PWM strategy and action plan. The trainer shall encourage the participants to follow the pre-requisites for conceptualising, implementing and monitoring a holistic PWM strategy and action plan.

Slide	Slide 2 - Why a strategy for GHMC
Description	Slide 3 - Objectives
	Slide 4 - Methodology adopted
	Slide 5 - Brief of study area
	Slide 6-8 - Key findings from baseline assessment and gap analysis
	Slide 9- 10 - PWM strategy and action plan
	Slide 11-21 - Reduce generation of plastic waste at source
	Slide 22-29 - Ensure segregated collection and transport of plastic waste
	Slide 30- 35 - Ensure maximum recycling and co-processing
	• Slide 36-38 - Awareness, attitude and behavioural change among
	stakeholders
	• Slide 39-47 - Strengthening institutional structure, monitoring and
	evaluation framework

Methodology Used	 The different techniques used in the session include: Presentation and lecture Question and answer
Training Aid	The session is designed for both online and in-person training. For the online training, conferencing platforms such as Zoom, Webex could be explored. It should be ensured that the online platforms are user friendly. For an in-person training programme, the participants will use flipchart and whiteboard for the group exercise and presentation to the audience.
Time Allotted	40 mins

Training Evaluation and Valediction

At the end of the training programme, the facilitator will ask the participants to share their overall experience. Trainees will be requested to fill in an evaluation form before leaving. In case of online trainings, evaluations could be conducted through a Google Form while for the in-person trainings, hard copies of the evaluation form can be used. Certificates could be given to all the participants who complete the training.

The trainer will wrap up the programme by extending a vote of thanks and encouraging the participants to continue the collaboration with peers for continued learning and inspiration.

Annexure 1: Pre-training Evaluation Form

Name	
Gender	
Current Designation	
Experience in waste sector (Years):	 Below 5 5-10 10-20 20-30
Experience in plastic waste management (Years):	Below 11-33-5
Since when are you working with the cu	irrent organisation:
Describe the key responsibilities/ tasks	you regularly perform?
Have you ever been part of the process Management (PWM) strategy and actio	of developing city level Municipal Solid Waste/Plastic Wasten plan? (Yes/No):
What are the challenges you face while waste in your city?	e managing municipal solid waste and in particular plastic
Have you attended any training on mur details (When, where and by whom the	nicipal solid waste/plastic waste in past? If yes, please share training was conducted?)

How do you think that the present training will help you in your day to day work? Please indicate if you plan to use the learnings in your work in some way?
What kind of waste facilities would you like to visit to enhance your learning as part of this course?
What are your expectations from the training programme?
what are your expectations from the training programme?

Annexure 2: Post Training Evaluation Form

4 = 1			
	Fmail:		

2. Organisation Name:

3. Was the training helpful for your work: Yes: No:

4. Rate the training programme on following parameters (where 1: not upto the mark; 2: average; 3: good; 4: very good and 5 means excellent)

				1	
	1	2	3	4	5
A. Overall rating of the training program					
B. Evaluation of Workshop effectiveness					
1. Functioning of the training aid(s) used during the training					
2. Usefulness of the resource material					
3. Overall effectiveness of the workshop					
C. Evaluation of Resource persons					
1. Subject knowledge					
2. Training facilitation					
3. Demonstration skills					
4. Group work facilitation					
5. Co-ordination between resource persons & participants					
D. Facilities at the venue (for in-person programme)					
1. Facilities and cleanliness in the classroom					
2. Lodging					
3. Food					
4. Discipline					

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5. How would you rate the different modules in terms of usability? (where 1: not upto the mark; 2: average; 3: good; 4: very good and 5 means excellent)

	1	2	3	4	5
Module I: Understanding Plastic Waste – Global and National Scenario					
Module II: Plastic Waste Management Rules and Guidelines in India					
Module III: Plastic Waste Management Strategy and Action Plan: Commitment and Baseline Assessment					
Module IV: Plastic Waste Management Strategy and Action Plan: Gap Analysis and Plan Development					
Module V: Plastic Waste Management Strategy and Action Plan: Implement, Monitor and Enhance					
Module VI: Compendium of Plastic Waste management Actions					
Module VII: Learning and Challenges of Developing Plastic waste Management Strategy and Action Plan – A Case of Hyderabad					

6. What can be improved for future training programmes?

Annexure 3: Reference Materials for Training Modules

Reference Materials: Module I

Reading Materials

- Azoulay, D. e. (2019). Plastic and Health: The Hidden Costs of a Plastic Planet.
 Center for International Environmental Law. Available at:
 https://www.ciel.org/wp-content/uploads/2019/02/Plastic-and-Health-The-Hidden-Costs-of-a-Plastic-Planet-February-2019.pdf. Retrieved June, 2021
- Bheda, H. (n.d.). *Industry Perspective on SIngle Use Plastic.* All India Plastic Manufacturers Association.
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- Onogawa et al. (2020) Enhancing Circular Economy Perspectives Plastic Waste Management Strategy and Action Plan for Greater Hyderabad Municipal Corporation (GHMC). Hyderabad: Greater Hyderabad Municipal Corporation (GHMC), United Nations Environment Programme, Institute for Global Environmental Strategies, ICLEI- South Asia.
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- The Pew Charitable Trusts and SYSTEMIQ. (2020). Breaking the Plastic Wave: A Comprehensive Assessment of Pathways Towards Stopping Ocean Plastic Pollution. The Pew Charitable Trusts. Available at: https://www.oneplanetnetwork.org/sites/default/files/breakingtheplasticwave_report.pdf. Retrieved June, 2021
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- United Nations Environment Programme (UNEP). (2014). Valuing Plastics: The Business Case for Measuring, Managing and Disclosing Plastic Use in the Consumer Goods Industry. United Nations Environment Programme (UNEP). Available at: https://wedocs.unep.org/handle/20.500.11822/9238. Retrieved June. 2021
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Audio Video Clips

- https://www.youtube.com/watch?v=iO3SA4YyEYU
- https://www.youtube.com/watch?v=1nDbkfCt2t4
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Reference Materials: Module II

Reading Materials

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- Plastic Waste Management (PWM) Rules, 2016. Available https://cpcb.nic.in/displaypdf.php?id=cGxhc3RpY3dhc3RlL1BXTV9HYXpldHRlLn BkZg==.
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- Guidelines on use of Plastic Waste in Road Construction (Provisional), 2019. https://rdso.indianrailways.gov.in/works/uploads/File/WKS-G-16.pdf
- Indian Standards regarding plastics. Available at: http://www.cercenvis.nic.in/PDF/APR JUN 2018.pdf
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- Indian Road Congress Guidelines for the Use Of Waste Plastic In Hot Bituminous Mixes (Dry Process) In Wearing Courses (IRC:SP: 98-203), 2013. Available at: https://www.tce.edu/sites/default/files/PDF/IRC-Spec=Road-with-plasticwaste.pdf
- Hazardous Waste (Management, Handling & Trans boundary Movement) Rules, 2016. Available at: http://iwma.in/HWM%20Rules.pdf
- Various state level policies, municipal by-laws, government orders, circulars, and notifications etc.

Reference Materials: Module III, IV, V

Reading Materials

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- https://www.youtube.com/watch?v=mroIEz5YEDU

Reference Materials: Module VI and VII

Reading Materials

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